

PTO/SB86 (09-04)

Approved for use through 07/31/2008, OMB 0651-0031

United States Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(b)**RECEIVED
CENTRAL FAX CENTER****MAR 29 2007**

Applicant: Bernd Fröhlich et al.

Application No.: 10/665,878 International Filing Date: July 22, 2004Entitled: DATA RECORDING DEVICE FOR DATA PROCESSING UNITSBauhaus-Universität Weimar
(Name of Assignee)a German University
(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

States that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by percentage) of its ownership interest is _____ %

In the patent application/patent identified above by virtue of either:

A ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From _____ To: _____
The document was recorded at the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
2. From _____ To: _____
The document was recorded at the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
3. From _____ To: _____
The document was recorded at the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment documents(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the United States Patent and Trademark Office. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Signature

Date

02/06/2007SchultzChancellor

Printed or Typed Name

Title

Telephone Number

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the United States Patent and Trademark Office to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the United States Patent and Trademark Office. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, United States Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: COMMISSIONER FOR PATENT, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Docket No.: 2051/113
US Appln Serial No. 10/565,878
Assignment

ASSIGNMENT

I:

1. Bernd Fröhlich, a citizen of Germany;
2. Jan Hochstrate, a citizen of Germany;
3. Gunnar Bach, a citizen of Germany;

who reside at

1. Cranachstrasse 38, Weimar 99423, Germany;
2. Neue Braugasse 95, Gerstungen 99834, Germany;
3. Gunnar Bach, Ortstrasse 8, Unterköditz 07426, Germany

have made certain inventions or discoveries (or both) set forth in an application for Letters Patent of the United States of America entitled:

DATA RECORDING DEVICE FOR DATA PROCESSING UNITS

which application was

☐ executed by the inventor(s) on the date of execution of this assignment, or

☒ described in an application for Letters Patent of the United States, filed on July 22, 2004 (International Filing Date), and accorded Serial No. PCT/EP/2004/008193 (PCT International Appln. No.), 10/565,878 (US Appln. No.).

and

BAUHAUS-UNIVERSITÄT WEIMAR, a Germany University located at:

Courdraystrasse 7
99423 Weimar
Germany

and which together with his successors and assigns is hereinafter collectively and severally called "Assignee", is desirous of acquiring the title, rights, benefits and privileges hereinafter recited, and of confirming the same or any part thereof heretofore acquired by Assignee.

Now, therefore, for valuable consideration furnished by Assignee to me, receipt and sufficiency of which I hereby acknowledge, I hereby, without reservation:

ASSIGNMENT

- Page 1 of 3 -

Docket No.: 2051/113
US Appln Serial No. 10/565,878
Assignment

1. Assign and convey to and confirm in Assignee the entire right, title and interest in and to said inventions and discoveries, said application for Letters Patent of the United States of America, any and all other applications for Letters Patent on said inventions and discoveries in whatsoever countries, including all divisional, renewal, substitute, continuation and Convention applications based in whole or in part upon said inventions or discoveries or upon said applications, and any and all Letters Patent and reissues and extensions of Letters Patent granted for said inventions and discoveries or upon said applications, and every priority right that is or may be predicated upon or arise from said inventions, said discoveries, said applications and said Letters Patent;

2. Authorize Assignee to file patent applications in any or all countries on any or all of said inventions and discoveries in my name or in the name of Assignee or otherwise as Assignee may deem advisable, under the International Convention or otherwise;

3. Authorize and request the Commissioner of Patents of the United States of America and the empowered officials of all other governments to issue or transfer all said Letters Patent to Assignee, as assignee of the entire right, title and interest therein or otherwise as Assignee may direct;

4. Warrant that I have not knowingly conveyed to others any right in said inventions, discoveries, applications or patents or any license to use the same or to make, use or sell anything embodying or utilizing any of said inventions or discoveries; and that I have good right to assign the same to Assignee without encumbrance;

5. Bind my heirs and legal representatives, as well as myself, to do, upon Assignee's request and at his expense, but without additional consideration to me or him, all acts reasonably serving to assure that the said inventions and discoveries, the said patent applications and the said Letters Patent shall be held and enjoyed by Assignee as fully and entirely as the same could have been held and enjoyed by me or my heirs or representatives if this assignment had not been made; and particularly to execute and deliver to Assignee all lawful application documents including petitions, specifications, and oaths, and all assignments, disclaimers, and lawful affidavits in form and substance as may be requested by Assignee; to communicate to Assignee all facts known to me relating to said inventions and discoveries or the history thereof; and to furnish Assignee with any and all documents, photographs, models, samples and other physical exhibits in my control or in the control of my heirs or legal representatives and which may be useful for establishing the facts of my conception, disclosure, and reduction to practice of said inventions and discoveries.

The effective date of this instrument is the date accompanying my signature, herein below.

ASSIGNMENT

- Page 2 of 3 -

Docket No.: 2051/113
US Appln Serial No. 10/565,878
Assignment

In testimony whereof I have affixed my signature.

Jan Springer - [Signature] 22.2.2007 B. Fröhlich 22.2.2007
(Witness) (Date) (Date)

Stephan Beck [Signature] 22.02.2007
(Witness) (Date)

[Signature] 22.2.2007 Jan Hochstrate 22.2.2007
(Witness) (Date) (Date)

Stephan Beck [Signature] 22.02.2007
(Witness) (Date)

[Signature] 22.2.2007 Gunnar Bach 22.2.07
(Witness) (Date) (Date)

Stephan Beck [Signature] 22.02.2007
(Witness) (Date)